

How LEAP Can Help You With Your Compliance

Hunnings Consultancy Ltd

Compliance is dull and something that we would rather not have to deal with. However we must and it is there for our and our clients' protection. So we might as well make the completion and recording of compliance as easy as possible. This short training course is designed to run through how your LEAP Case Management System can help you with this.

The course is designed to be delivered remotely and run for an hour. So, it will fit well into a lunch hour or the evening. Price £175 + VAT. It can count as part of your CPD. A summary sheet will also be sent to you as an aide memoire. We prefer to deliver the course to one firm at a time so we can make it interactive.

The training session is an overview. More detailed training or other consulting work can be discussed.

Topics covered

- Conflict Checking
- AML – recording
- Risk Profiling – recording
- Undertakings – recording
- File Reviews
- Recording of Original Client Documents held
- Experts Register
- Precedents
- Knowledge Management
- COFA & COLP – recording
- Ethical Walls and Restricting Access to Matters
- GDPR – recording permission to market
- Security
- Referrals – recording
- Milestone & Deadline – recording & management
- Reports

Please feel free to contact us for more information or to discuss our other services.

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